



## **Notice of a public meeting of**

### **Audit & Governance Committee**

- To:** Councillors Derbyshire (Chair), Dew (Vice-Chair), Shepherd, Cuthbertson, Fenton, Kramm, Steward and Mr Mendus
- Date:** Wednesday, 6 December 2017
- Time:** 5.30 pm
- Venue:** The George Hudson Board Room - 1st Floor West Offices (F045)

### **AGENDA**

#### **1. Declarations of Interest**

At this point in the meeting, Members are asked to declare:

- any personal interests not included on the Register of Interests
- any prejudicial interests or
- any disclosable pecuniary interests

which they might have in respect of business on this agenda.

#### **2. Minutes (Pages 1 - 8)**

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 20 September 2017.

### **3. Public Participation**

It is at this point in the meeting that members of the public who have registered their wish to speak can do so. The deadline for registering is by **5:00pm on Tuesday 5 December 2017**.

To register please contact the Democracy Officer for the meeting, on the details at the foot of this agenda.

#### **Filming, Recording or Webcasting Meetings**

Please note that, subject to available resources, this meeting will be filmed and webcast, or recorded, including any registered public speakers who have given their permission. This broadcast can be viewed at: <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

[http://www.york.gov.uk/download/downloads/id/11406/protocol\\_for\\_webcasting\\_filming\\_and\\_recording\\_of\\_council\\_meetings\\_20160809.pdf](http://www.york.gov.uk/download/downloads/id/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809.pdf)

### **4. Scrutiny of Treasury Management Mid year Review and Prudential Indicators 2016/17 (Pages 9 - 28)**

This report presents a Scrutiny of Treasury Management Mid year Review and Prudential Indicators 2016/17.

### **5. Contract Procedure Rules Update (Pages 29 - 68)**

This report sets out the proposed changes to the Contract Procedure Rules.

**6. Local Government Association Corporate Procurement Peer Review** (Pages 69 - 72)

This report updates Members on the progress made in delivering the recommendations of the Local Government Association (LGA) external peer review of corporate procurement within the Council.

**7. Mazars Annual Audit Letter 2016/17** (Pages 73 - 94)

This report presents the Annual Audit Letter from Mazars (the Council's external auditors). The paper attached at Annex A (from Mazars) summarises the outcome of their audit of the Council's 2016/17 annual accounts and their work on the value for money conclusion.

**8. Monitor 3 2017/18 - Key Corporate Risks** (Pages 95 - 124)

To receive an update on the key corporate risks for City of York Council.

**9. Audit & Counter Fraud Monitoring Report** (Pages 125 - 144)

To receive an update on progress made in delivering the internal audit workplan for 2017/18 and on current counter fraud activity.

**10. Review of the Effectiveness of the Audit & Governance Committee** (Pages 145 - 150)

To consider options for undertaking a review of the effectiveness of the committee.

**11. Information Governance Report** (Pages 151 - 162)

This report provides Members with updates in respect of:

- Information governance performance
- General Data Protection Regulation (GDPR)
- the NHS Digital audit

**12. Audit & Governance Committee Forward Plan to September 2018** (Pages 163 - 170)

This report presents the future plan of reports expected to be presented to the Committee during the forthcoming year to September 2018.

### 13. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Becky Holloway  
Telephone: (01904) 553978  
Email: [becky.holloway@york.gov.uk](mailto:becky.holloway@york.gov.uk)

For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.

**This information can be provided in your own language.**

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550